

RVU Compliance and Disclosures Calendar: AY July 2022- June 2023

Month or Frequency	Regulation or Statute Name	Regulation Resource	Due Date	Recipient to be Notified	Who Reports and Distribution Method	Completed
Annually – Usually upon enrollment and/or matriculation	Student Right to Know Act, Higher Education Opportunity Act, Professional Licensure Disclosure	U.S. Dept. of Postsecondary Education, ED: 34 CFR, Title IV, Federal Student Aid www.highered.compliance.org/compliance-matrix	Varies	Prospective and Enrolled Students	Student Financial Services, Office of Admissions – Disclosures and Reports, Website, Brochures, Admissions Packets, Financial Aid Packets, etc.	X
July 2022	Completion, Graduation and Transfer-out Rates	HEA Sec. 485 (a)(4)(7); 34 CFR 668.41 (a)-(d); 34 CFR 668.45; 34 CFR 668.8 (b)(1)(ii); FSA Hdbk. Volume 2, chapter 6	July 1	Enrolled and Prospective Students	Registrar, SFA, & VP – Office of Institutional Effectiveness Website, electronic media, publications, or mailings	X
July/Aug. 2022	COCA and HLC	Student Complaints Procedures	Beg. Of Each AY	All Students	Compliance Office	X 07/28/22
July/Aug. 2022	Bloodborne Pathogens and HIPAA	OSHA Standard 29 CFR 1910.01030 HIPAA Law	Betw. July 15 & Aug. 15	Faculty and OMS II	Compliance Office Email Notification; CITI Program Online Training	
August 2022	Bloodborne Pathogens, HIPAA	OSHA Standard 29 CFR 1910.01030	Aug. 1 Notification. Complete by Aug. 30 th	OMS I, MSBS, PA	Compliance Office Orientation Handouts; email (online CITI course)	X OMS I, 07/18/22 X PA, MSBS 08/01/22
August 2022	Title IX Awareness Training	DOJ 20 U.S.C. Aϕ1681 ET.SEQ. Reg. 66092	During Orientation for New Stud.	OMS I, MSBS, PA	Title IX Coord. In-Person Training at Orientation	X OMS I, 07/18/22 X PA, MSBS 08/01/22
August 2022	Title IX Awareness Training	DOJ 20 U.S.C. Aϕ1681 ET.SEQ. Reg. 66092	August 15- Sep. 30	OMS II, III, IV, PA2, All Employees	Title IX Coordinator Provides Online Training	Pending
August 2022	FERPA – Family Rights and Privacy Act	HEA Sec. 485; 34 C.F.R. 668.41; FSA Handbook	Annually	Students	Notification from Office of Registrar, Stud. Hdbk.	
September 2022	NC-SARA	State Reciprocity	Annually	CDHE	Compliance Office CDHE Portal to SARA	Not currently renewing
October 2022	Campus Safety and Security - CLERY Act.	HEA Sec. 485 (f); 34 CFR 668.46; 34 CFR 668.49; FSA Handbook Volume 2 Chapter 6	Oct. 1 st	Department of Education; All RVU Students and Employees	Safety & Security Office https://surveys.ope.ed.gov/security Notice of report sent to each enrolled student and employee or made available on an Internet website	
October 2022	IPEDS	HEA, Title 1-C, Section 131 – 132	Exact dates may change annually	NCES (National Center for	VP - Office of Institu. Effectiveness https://surveys.nces	

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October 2022	Exposure Control and Bio-Hazard Communica. Plan	OSHA 29 USC, 29 CFR	Annually and Also Ck. for Updates	Faculty, Students	ed.gov/ipeds/ Compliance Office – Notification of Plan	
October 2022	State Authorization - Colorado	34 C.F.R. 600.9	2023 Based on Accreditation	CO Dept. of Higher Educ.	Compliance Office	Will renew after April 2024 HLC
October 2022	Review of the Drug and Alcohol Abuse Prevention Program & Notification	HEA Sec. 120; 34 CFR 86; FSA Handbook Volume 2, Chapter 6; Drug-Free Schools and Communities Act	Throughout Month; Biennial Review Even Years; Annual Notification	All RVU Students and Employees	Compliance Office Website, electronic media, publications, or mailings	
Nov. 2022	IRB – Human Subjects Research	HHS/OHRP 45 CFR, Part 46; Revised Common Rule	Federalwide Assurance Renewal, Nov. 2022	Office of Human Research Protections	Compliance Office / IRB Administrator	
Dec. 2022	Audits	Check for Updates of State and Federal Statutes and Regulations	Revise Policies and Documents as Necessary	Various Departments	Compliance Office	
January 2023	Copyright Act of 1976	U.S. Code Title 17; 34 CFR 668	Jan. 31	Faculty and Students	Notice of Policy from Compliance Office	
January 2023	FERPA	20 U.S.C. § 1232g; 34 CFR Part 99	Jan. 31 - Annual	All Employees	Compliance Office – Employee Training	
February 2023	IPEDS (Integrated Postsecondary Education Data System) Surveys	HEA, Title 1-C, Section 131 – 132 Student financial aid; graduation rates; admissions.	Exact dates may change annually.	NCES (National Center for Education Statistics)	VP - Office of Institutional Effectiveness https://surveys.nces.ed.gov/ipeds/	
February 2023	SURDS – CO Dept. of Higher Ed.	Student Enrollment Report	Usually mid-Feb.	CDHE https://surds.colorado.gov	Institutional Effectiveness and Compliance Office	
March 2023	Utah State Authorization	34 C.F.R. 600.9	Annual Renewal	UT Dept. of Commerce	Compliance Office	
March or April 2032	CLERY Act Crime Prevention	34 CFR Part 668.46 (j)	Anytime during month.	All employees and students	Campus Safety and Title IX Coord. – Prevention Activities	
April 2023	Bloodborne Pathogens and HIPAA	OSHA Standard 29 CFR 1910.01030 HIPAA Law	May 15 th , prior to clinical rotations	OMS III & IV	Compliance Office Email Notification; CITI Program Online Training	
April 2023	Sexual Assault Awareness Month (SAAM)	Title IX of the Education Amendment	Annually in April	All Students and Employees	Prevention Program by Title IX Coord., Security Dept., Marketing	

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May 2023	Voter Registration Forms	HEA Sec. 487 (a)(23)	120 days before voter registration deadline	Enrolled Students	Office of Student Affairs Email or regular mail	
May 2023	SARA State Reciprocity Enrollment & Data Report	Chapter 34, § 600.9(c); for Clinical Rotations Out of State	Varies by State/SARA – Usually Annual	NC-SARA	Compliance Office SARA Online System	Not currently participating

The following link opens a chart of the Higher Education Opportunity Act and numerous other statutes and regulations:

www.higheredcompliance.org/compliance-matrix

*Consumer Information and Disclosures include the following:

General Institutional Information: Contains information about 1) ADA accessibility for students and employees; 2) copyright infringement; 3) peer to peer file sharing; 4) federal protection rights for an individual's privacy of certain records including FERPA; 5) complaint resolution; 6) official withdraw requirements; 7) retaking coursework and refund policies; 8) specific data for college comparison including cost of attendance, educational programs, accreditation, course descriptions, degree requirements, and transfer of credit policies; 9) text book information; and 10) student responsibilities.

Health and Safety Information: Contains information to ensure the health and safety of the campus community and includes 1) drug and alcohol abuse prevention program; 2) reporting required by the campus security department; 3) Title IX and sexual misconduct policy; 4) information for crime victims about disciplinary proceedings; 5) vaccination policy; 6) OSHA Bloodborne Pathogens and Exposure Control Plan, 7) HIPAA Regulations

Student Financial Assistance: Contains basic financial aid information including 1) assistance available from federal, state, local and institutional programs, and procedures to apply; 2) eligibility requirements for financial aid; 3) how financial aid is disbursed; 4) federal student financial aid penalties for drug law violations; 5) satisfactory academic progress (SAP) and return of Title IV (R2T4) policies for financial aid students; 6) student loan information and exit loan counseling for student borrowers; 7) Title IV funds for study abroad; and 8) rights and responsibilities of Title IV recipients.

Student Outcomes: Contains information 1) required by the Student Right-to-Know Act including student body diversity statistics and graduation rates; 2) gainful employment disclosures; 3) Professional Licensure Disclosure Statement

Other Disclosures: Contains information for obtaining a Colorado voter registration form and RVU's observance of Constitution Day every Sept. 17.

Separate Compliance Responsibilities

HR is responsible for compliance with regulations and laws for employees in re: Fair Labor Standards Act, EEOC, & Title VII.

Office of Finance/Student Aid is responsible for compliance with regulations for Title IV – all federal student aid requirements and cooperates with the compliance manager as needed.

Admissions/Marketing Dept. is responsible for enrolled and prospective student disclosures and required publications on website and web portals when requested.

Campus Safety and Security Dept. is responsible for the Annual Security Report (ASR) including CLERY reporting.

Student Affairs is responsible for ensuring publications in the Student Handbook when requested.